

NVB 3001 (Rev. 12/24)

UNITED STATES BANKRUPTCY COURT FOR THE DISTRICT OF NEVADA		PROOF OF INTEREST
<b>Name of Debtor:</b> <b>Meta Materials Inc.</b>	<b>Case Number:</b> <b>24-50792-hlb</b>	<b>RECEIVED AND FILED</b>  <b>DEC 18 2024</b>  <b>U.S. BANKRUPTCY COURT</b> <b>MARY A. SCHOTT, CLERK</b>   <b>COURT USE ONLY</b>
<b>1. Name and address of holder of the Equity Interest</b> (the person or entity holding an Equity Interest in the Debtor. Referred to hereinafter as the "Interest holder"):  <div style="font-family: cursive;">STANLEY HO</div> <div style="font-family: cursive;">1183 Wunderlich Dr</div> <div style="font-family: cursive;">San Jose CA 95129</div> <b>Telephone Number:</b>  <div style="font-family: cursive;">408-391-2066</div>	<input type="checkbox"/> Check box if you are aware that anyone else has filed a proof of interest relating to your interest. Attach copy of statement giving particulars.  <input checked="" type="checkbox"/> Check box if you have not received any notices from the bankruptcy court or the Debtors in this case.  <input type="checkbox"/> Check box if this address differs from the address on the envelope sent to you by the Debtors.	
<b>NOTE:</b> This form <b>SHOULD NOT</b> be used to make a claim against the Debtor for money owed. A separate Proof of Claim form should be used for that purpose. This form should only be used to assert an Equity Interest in the Debtor. An Equity Interest is any right arising from any capital stock and any equity security in any of the Debtor. An equity security is defined in the Bankruptcy Code as (a) a share in a corporation whether or not transferable or denominated stock or similar security, (b) interest of a limited partner in a limited partnership, or (c) warrant or right other than a right to convert, to purchase, sell, or subscribe to a share, security, or interest of a kind specified in subsection (a) or (b) herein.		
<b>Account or other number by which Interest holder identifies Debtor (last 4 digits only):</b>  <div style="font-family: cursive;">0766</div>	<b>Check here if this Proof of Interest:</b> <input type="checkbox"/> replaces a previously filed Proof of Interest dated: _____ <input type="checkbox"/> amends a previously filed Proof of Interest dated: _____	
<b>2. Name and Address of any person or entity that is the record holder for the Equity Interest asserted in this Proof of Interest:</b> <div style="font-family: cursive;">Robinhood</div> <div style="font-family: cursive;">85 Willow Rd. Menlo Park</div> <div style="font-family: cursive;">CA 94025</div> <b>Telephone Number:</b> <div style="font-family: cursive;">650-961-7789</div>	<b>3. Date Equity Interest was acquired:</b>  <div style="font-family: cursive;">6/30/2022</div>	
<b>4. Total amount of member interest:</b> <div style="font-family: cursive;">349</div>	<b>5. Certificate number(s):</b> <div style="font-family: cursive;">1</div>	
<b>6. Type of Equity Interest:</b> Please indicate the type of Equity Interest you hold: <input checked="" type="checkbox"/> Check this box if your Equity Interest is based on an actual member interest held in the Debtor. <input type="checkbox"/> Check this box if your Equity Interest is based on anything else and describe that interest: <b>Description:</b> _____		
<b>7. Supporting Documents:</b> Attach copies of supporting documents, such as stock certificates, option agreements, warrants, etc. DO NOT SEND ORIGINAL DOCUMENTS. If the documents are not available, explain. If the documents are voluminous, attach a summary.		
<b>8. Date-Stamped Copy:</b> To receive an acknowledgement of the filing of your Proof of Interest, enclose a stamped, self-addressed envelope and copy of this Proof of Interest.		
<b>9. Signature:</b> Check the appropriate box. <div style="display: flex; justify-content: space-between;"> <div> <input checked="" type="checkbox"/> I am the interest holder.         </div> <div> <input type="checkbox"/> I am the interest holder's authorized agent.          (Attach copy of power of attorney, if any.)       </div> <div> <input type="checkbox"/> I am the trustee, or the debtor, or their authorized agent.          (See Bankruptcy Rule 3004.)       </div> <div> <input type="checkbox"/> I am a guarantor, surety, endorser, or other codebtor.          (see Bankruptcy Rule 3005.)       </div> </div>		
I declare under penalty of perjury that the information provided in this proof of interest is true and correct to the best of my knowledge, information, and reasonable belief.		
<b>Print Name:</b> <u>STANLEY HO</u> <b>Title:</b> <u>Individual</u> <b>Company:</b> _____ <b>Address and telephone number (if different from notice address above):</b> _____ <div style="text-align: right; margin-top: 20px;"> <div style="font-family: cursive; font-size: 1.2em;">[Signature]</div> <div style="margin-left: 20px;">12-13-2024</div> </div> <div style="display: flex; justify-content: space-between; margin-top: 10px;"> <div>(Signature)</div> <div>(Date)</div> </div> <div style="display: flex; justify-content: space-between; margin-top: 10px;"> <div>Telephone number: 1-408-391-2066</div> <div>email: stanleyho30@gmail.com</div> </div>		



85 Willow Rd, Menlo Park, CA 94025  
help@robinhood.com

06/01/2022 to 06/30/2022

**STANLEY HO** Account #:566830766  
1183 Wunderlich Drive, San Jose, CA 95129

■ Options

■ Equities

■ Cash and Cash Equivalents

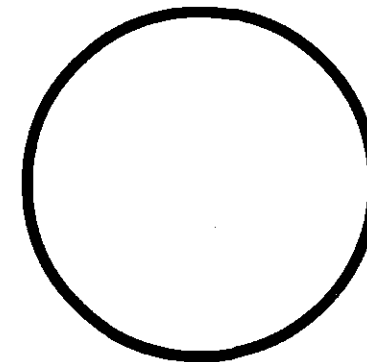
### Account Summary

	Opening Balance	Closing Balance
Net Account Balance	\$3.24	\$3.24
Total Securities	\$1,563.52	\$1,437.88
<b>Portfolio Value</b>	<b>\$1,566.76</b>	<b>\$1,441.12</b>

### Income and Expense Summary

	This Period	Year to Date
Dividends	\$0.00	\$0.00
Capital Gains Distributions	\$0.00	\$0.00
Interest Earned	\$0.00	\$0.00

### Portfolio Allocation



- Cash and Cash Equivalents 0.22%
- Equities 99.78%
- Options 0.00%

This statement shall be conclusive if not objected to in writing within ten days (except with respect to debit card transactions). Errors and omissions exempted. Please address all communications to the firm and not to the individuals. Address changes or other material changes in your account should be directed to the office servicing your account. Kindly mention your account number. This statement should be retained for income tax purposes.

## Portfolio Summary

Securities Held in Account	Sym/Cusip	Acct Type	Qty	Price	Mkt Value	Est. Dividend Yield	% of Total Portfolio
Meta Materials Estimated Yield: 0.00%	MMAT	Margin	349	\$1.03	\$359.47	\$0.00	24.94%
MMAT Preferred Shares Estimated Yield: 0.00%	MMTLP	Margin	698	\$1.54	\$1,078.41	\$0.00	74.83%
<b>Total Securities</b>					<b>\$1,437.88</b>	<b>\$0.00</b>	<b>99.78%</b>
<b>Brokerage Cash Balance</b>					<b>\$3.24</b>		<b>0.22%</b>
<b>Total Priced Portfolio</b>					<b>\$1,441.12</b>		

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
Total Funds Paid and Received							\$0.00	\$0.00

These transactions may not be reflected in the other summaries

Description	Acct Type	Transaction	Trade Date	Settle Date	Qty	Price	Debit	Credit
Total Executed Trades Pending Settlement							\$0.00	\$0.00

## Important Information

**PLEASE NOTE:** The Aggregated Margin Interest annual percentage rate ("APR") that appeared in the Account Activity section of your March 2022, April 2022, and May 2022 statements ("2.5%") was incorrect. The Aggregated Margin Interest APR charged (i) in March was 2.5% prior to March 22, 2022 and 3% thereafter, (ii) in April was 3%, and (iii) in May was 3% prior to May 4, 2022 and 3.5% thereafter. This error had no impact on the calculation of the Aggregated Margin Interest debit amounts that appeared in the Account Activity section of those statements. The Aggregated Margin Interest APR charged in June was 3.5% prior to June 16, 2022 and 4.25% thereafter.

Robinhood Securities, LLC ("RHS") carries your account as the clearing broker by arrangement with your introducing broker-dealer, Robinhood Financial LLC ("RHF"). If this is a margin account and we maintain a special miscellaneous account for you, this is a combined statement of your general account and special miscellaneous account maintained for you under Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the special miscellaneous account as required by Regulation T is available for your inspection at your request.

The per annum rate of interest charged on debit balances in your account is shown on this statement. This rate may change from time to time in accordance with fluctuations in interest rates. Interest is computed from the 1st day of the month to the last day of the month. The interest is based on the average daily balance in your account with us, and for the actual number of days based on an interest year of 360 days. When calculating margin interest, free credit balances in all accounts will be offset against any debit in the margin account and the interest will be charged on the new debit balance.

We are required to report to the Internal Revenue Service all cash dividends and interest credited to your account on securities held for you in our name. All dividends and interest credits should be included in your income tax return.

Information relative to fees and any other charges incurred in connection with listed option transactions occurring during the month has previously been furnished to you in confirmation of such transactions. A summary of the information will be made available to you promptly upon request. Exercise assignment notices for option contracts are allocated among customer short positions pursuant to a manual procedure which randomly selects from amongst all customer short option positions including those contracts which are subject to exercise. All short American style option positions are liable for assignment at any time whereas European style options are assigned at expiration. A more detailed description of our random allocation procedure is available upon request.

You are to promptly advise Robinhood of any material changes concerning your investment objectives or financial situation by updating your information using the Robinhood platform or by contacting [help@robinhood.com](mailto:help@robinhood.com).

RHS is a Member of SIPC, which protects securities customers of its members up to \$500,000 (including \$250,000 for claims for cash). Explanatory brochure available upon request or at [www.sipc.org](http://www.sipc.org).

Any free credit balances represent funds payable upon demand which, although properly accounted for on our books and records, is not segregated,

and may be used in the conduct of this firm's business as permissible under the SEC Rule 15c3-2.

### Notice to Customers

RHS acts as clearing agent for your trades. Your account, which was introduced to us by RHF, is established under your name on a "fully disclosed" basis at RHS. You remain a customer of RHF.

As required, under SEC rules, both the RHF and RHS Order Routing Reports as well as information regarding specific order routing information is available free of charge upon request.

As a clearing agent, RHS provides securities clearance and may provide order execution based on RHF instructions. RHS will not be involved with or have any responsibility for decisions regarding securities transactions in your account. RHF will be responsible for opening, approving and monitoring all activities in connection with your account. The entry of orders and any instructions regarding the deposit or withdrawal of securities or monies should be made through RHF.

In addition to the above mentioned services, RHS will provide cashing services, safeguarding of funds and securities while in our possession, monitoring compliance with applicable credit Regulation T and RHS internal policies, preparing and making accessible your account records (including transaction confirmations and periodic statements of your account).

The dividend totals reflected in the Income and Expense Summary are inclusive of both taxable and non-taxable dividends.

Interest charges to your account may be based on the size and net debit balance during the interest period. These rates are subject to revision with appropriate notice. For more complete information regarding interest charges to customers, consult the RHF Fee Schedule, available at <https://rbnhd.co/fees>.

We also offer Robinhood Cash Management as an additional feature of your account. Robinhood Cash Management includes debit card access to your account and automatic sweep of uninvested cash to bank deposits. If you participate in Robinhood Cash Management, your use of the debit card, and your rights with respect to debit card transactions, will be governed by the Robinhood Debit Card Agreement, which has been provided to you and is available at <https://rbnhd.co/debit-card-agreement>.

In case of errors or questions about your electronic transfers, including your debit card transactions, or if you think your statement or receipt is wrong or if you need more information about a transaction listed on the statement or receipt, email Robinhood at [help@robinhood.com](mailto:help@robinhood.com). Robinhood must hear from you no later than sixty (60) days after you were sent the FIRST statement on which the problem or error appeared.

- A. Tell RHF your name and account number.
- B. Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- C. Tell RHF the dollar amount of the suspected error.

RHF will investigate your complaint and will correct any error promptly. If we take more than ten (10) business days to do this, RHF will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes RHF to complete our investigation.

If you participate in Robinhood Cash Management, your uninvested cash is swept into accounts at FDIC-insured program banks, where your uninvested cash will earn interest from the program banks and be eligible for FDIC insurance up to applicable limits, subject to FDIC rules. Please see the Robinhood Securities, LLC & Robinhood Financial LLC Insured Network Deposit Sweep Program Disclosures, available at <https://rbnhd.co/ind-disclosure>, for the terms and conditions of this sweep program, including information regarding FDIC insurance coverage.

RHF and RHS are members of the Financial Industry Regulatory Authority, Inc. ("FINRA"), and we are required to inform you of the availability of the FINRA Investor Brochure, which contains information on FINRA BrokerCheck. You may contact FINRA at 800-289-9999 or via their website [www.finra.org](http://www.finra.org). RHS carries your account and acts as your custodian for funds and securities deposited with us directly by you, through RHF as a result of transactions we process to your account. Any suspected inaccuracy or discrepancy in your account statement must be promptly reported to RHF. In order to protect your rights, please confirm any oral communications in writing and include your brokerage account number. General inquiries or concerns regarding your account should be directed to: [help@robinhood.com](mailto:help@robinhood.com).

The SEC requires all broker-dealers that route orders in equity securities and options to make available quarterly reports that present a general overview of their routing practices. The reports must identify the significant venues to which customer orders were routed for execution during the applicable quarter and disclose the material aspects of the broker-dealers relationship with such venues. In addition, the Rule (SEC Rule 606) requires broker-dealers to disclose, upon customer request, the venues to which the individual customer's orders were routed for the six months prior to the request, and the execution time for the orders that were executed. For further information, please contact RHF.

All trade confirmations are transmitted on or about the transaction date. If you participate in the Dividend Reinvestment Plan program details concerning the reinvestment of dividends will be included on your monthly statements. RHS will act as agent in having your DRP purchases executed.

**Statement of Financial Condition**  
Robinhood Securities, LLC. Audited Statement of Financial Condition as of December 31, 2021 is available on the Company's website at [www.robinhood.com/legal](http://www.robinhood.com/legal). A paper copy may be requested at no cost by calling 1-(800)-282-1327. On December 31, 2021, Robinhood Securities, LLC. had a net capital of \$2,841,411,426 which was \$2,706,843,428 in excess of its required net capital of \$134,567,998.

Please retain this statement as it will be helpful in preparing your income tax returns and may be needed along with subsequent statements to verify interest charges in your account. This statement shall be deemed conclusive unless objected to in writing within ten (10) days.